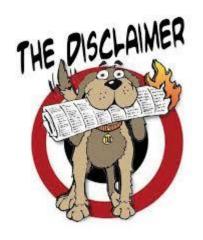
Technology Transfer: Sharing the TTO Experience



Steven M. Ferguson, CLP

DISCLAIMER





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National Institutes of Health

The Nation's Steward of Medical & Behavioral Research

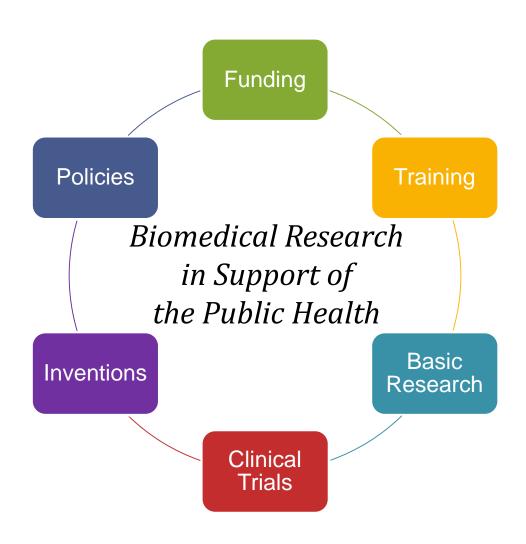


Engine for Research & Innovation

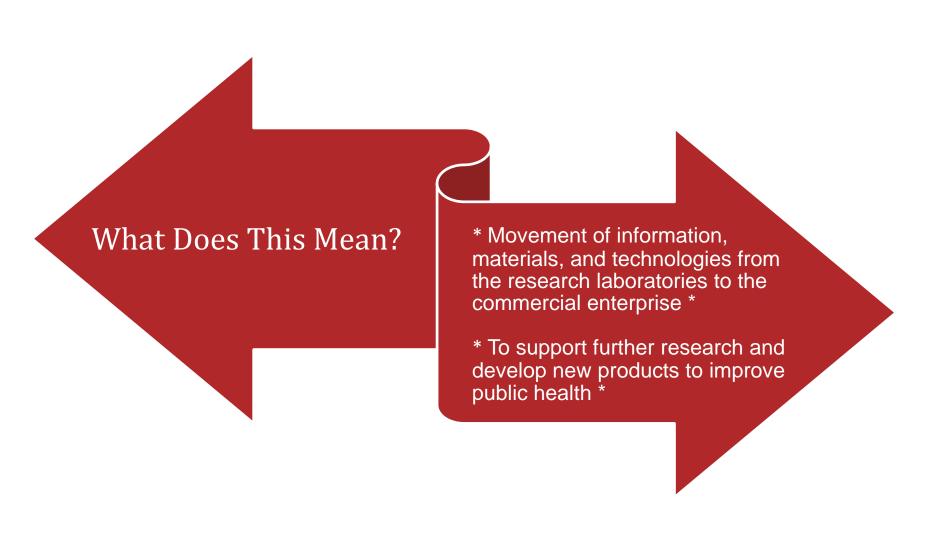
Premier biomedical research institution in the United States

Annual Budget = \$32.3 billion

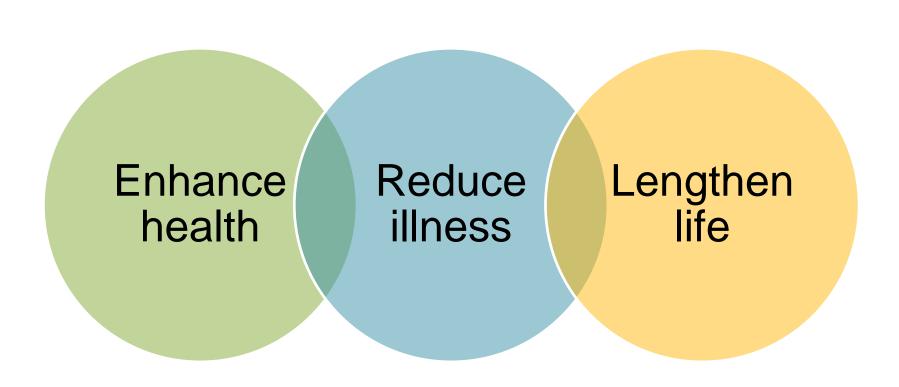
National Institutes of Health



Technology Transfer



Technology Transfer Supports NIH Fundamental Mission



Technology Transfer Mission

To improve public health through the management of National Institutes of Health (NIH), Food and Drug Administration (FDA), and Centers for Disease Control and Prevention (CDC) inventions — and in doing so serve a leading role in public sector biomedical technology transfer policy and practice.

Core Components of the NIH Mission

- Extramural Research Supporting research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout United States and overseas
- Intramural Research Conducting research in its own laboratories
- Training Helping train research investigators
- Communication Fostering communication of medical information



Technology Transfer Goals

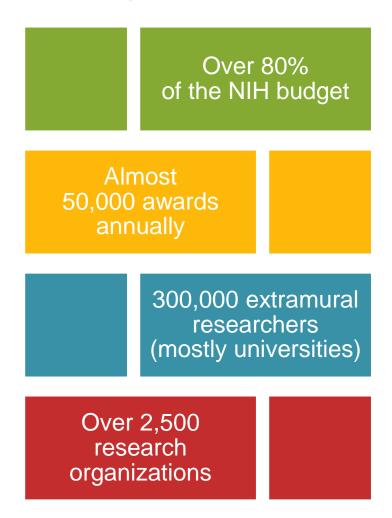
 Utilize IP appropriately as incentive for commercial development of technologies



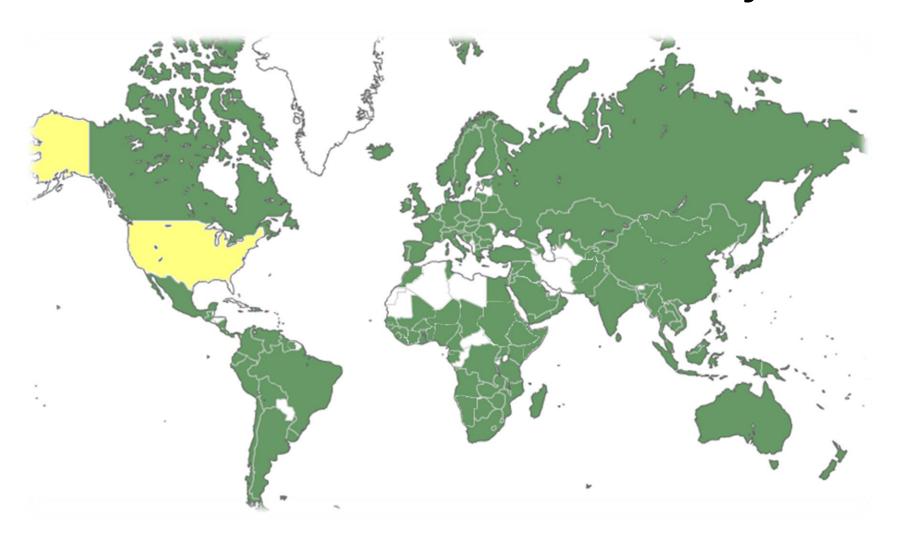
- Attract new R&D resources
- Obtain return on public investment
- Stimulate economic development
- Benefit the public health

Extramural Research

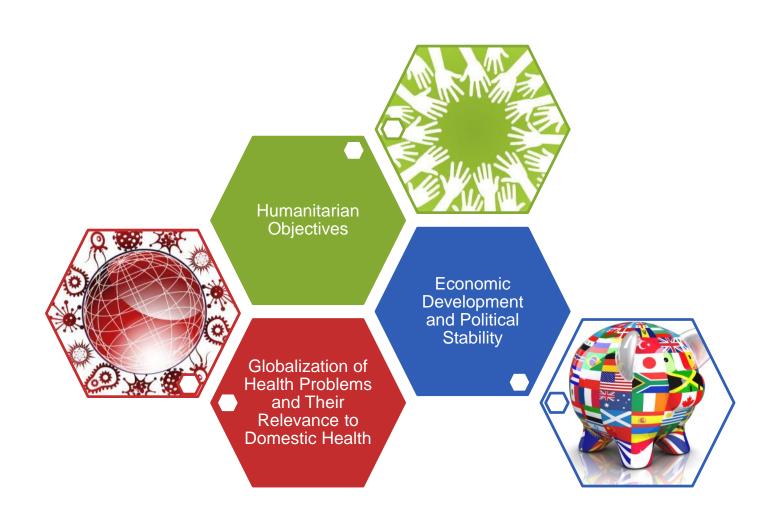
Supporting Research Worldwide



Scientists Funded Globally

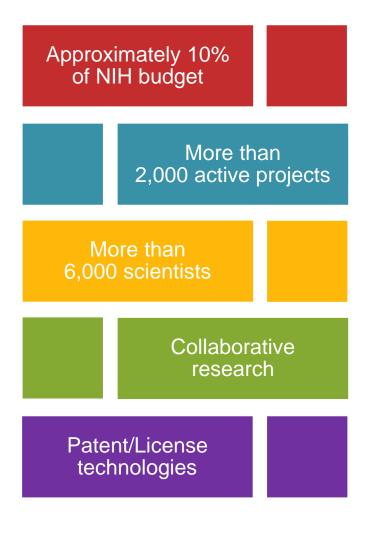


Importance of Global Health Research



Intramural Research

Biomedical Research and Training



CRADA – Cooperative Research and Development Agreement

Collaboration between Government lab and outside party

- Government provides expertise, equipment, materials
- Collaborator provides same and possibly money
- Provides Collaborator with right to elect option to exclusive license to new inventions



Examples of Products Developed Under NIH CRADAs

Product	Company	Use
FluMist®*	MedImmune	Influenza vaccine
Havrix®	GlaxoSmithKline	Vaccine against hepatitis A
Taxol®	Bristol-Myers Squibb	Treatment of solid tumors and Kaposi's cancer
Thyrogen®	Genzyme Therapeutics	Adjunct to thyroid cancer treatment
Velcade [®]	Millennium Pharmaceuticals	Treatment of multiple melanoma
*Not based on NIH IP		

Technology Transfer

- Utilize IP appropriately as incentive for commercial development of technologies
- Attract new R&D resources
- Obtain return on public investment
- Stimulate economic development
- Benefit the public health



Patenting Policy



Seek patent protection if:

- Facilitates availability of the technology for preventive, diagnostic, therapeutic, or other commercial use
- Further research and development is necessary to realize the technology's primary use
- Commercial or public health value of the technology warrants the expenditure of funds
- Research has a practical utility or a reasonable expectation of future practical utility



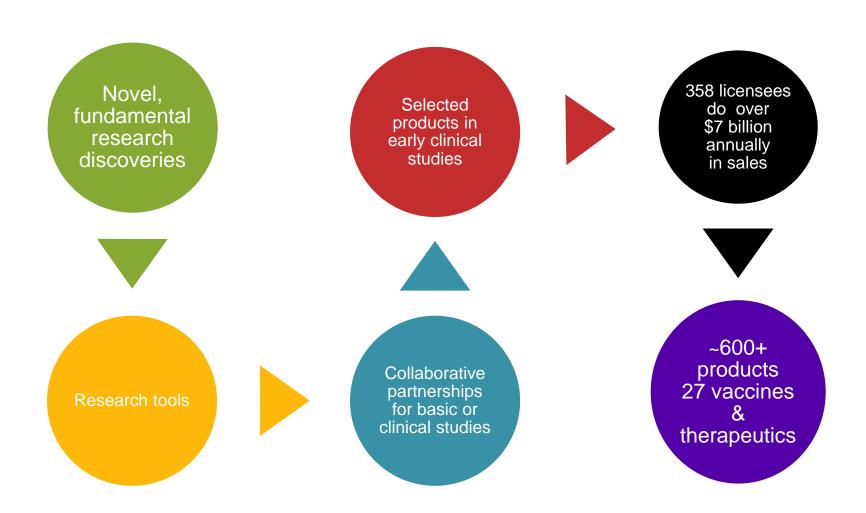
Do not seek patent protection if:

 Commercialization and technology transfer can best be accomplished without patent protection

NIH Product Licensing Principles

Granting only the appropriate scope of rights Permit research uses Preference for non- or partial exclusivity Specified fields of use Enforceable milestones and benchmarks Maximize development of products for the public health Ensure appropriate return on public investment

Characteristics of the NIH Intramural Research Program "Pipeline"



NIH License Types

- Exclusive Patent
 Commercialization
- Non-exclusive Patent Commercialization
- Non-exclusive Patent
 Internal Use
- Start-Up Exclusive
 Evaluation Option
- Start-Up Exclusive Patent Commercialization

- Commercial Evaluation
 License
- Biological Material
 Commercialization
- Biological Material Internal Use
- Interference or Dispute Settlement
- Inter-institutional

Licensing to University Start-Ups





Technology Transfer Training Programs

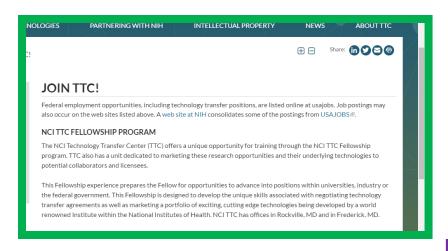
 "Advanced Studies In Technology
 Transfer" certificate program for individual students at FAES Graduate School at NIH

"International Technology Transfer
 Mentoring Program" for university tech transfer & agency officials

(http://www.ott.nih.gov/international-mentoring-opportunities)

(http://faes.org/grad/advanced_studies/technology_transfer)

NCI & NIAID Fellowships in Tech Transfer



https://techtransfer.cancer.gov/ aboutttc/jointtc

http://www.niaid.nih.gov/LabsAnd Resources/techDev/Pages/techTra nsFellowshipPrg.aspx



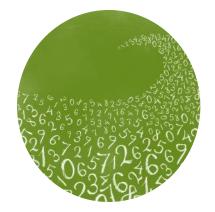
Intramural Portfolios (FY15)



- 292 invention disclosures
- 151 U.S. patents issued
- 257 licenses executed
- 1,300+ active licenses
- \$147.1 million in royalties collected

Intramural Portfolios

- 101 CRADAs executed (NIH only)
- >600 products developed to date (27 vaccines and therapeutics)
- Over \$1.2B in royalties collected to date



Licensed Products – Diagnostics



- AcuTect[®]
- BRACAnalysis[®]
- HIV Test Kits
- NeoTect[®]

- Parvovirus B19
 Immunoassay
- PathVysion® HER-2 DNA Probe Kit
- Pathway[®] Her-2/neu (4B5)
- Thyrogen[®]

Licensed Products – Therapeutics

- Didanosine
- Fludara[®]
- Hivid[®]
- Kepivance[®]
- NeuTrexin®
- Prezista[®]
- Sporanox Oral[®]



- Synagis[®]
- Taxol[®]
- Velcade[®]
- Videx[®]
- Vitravene[®]
- Zenapax[®]
- Zevalin[®]

Licensed Products – Vaccines

- Certiva[®]
- Cervarix[®]
- Gardasil[®]
- Havrix[®]

- Hepatyrix[®]
- LYMErix™
- RotaShield[®]
- Twinrix®



Licensed Products – Other

Also -

- Consumer Products
- Devices/Instrumentation/Software
- Research Reagents
- Veterinary Products

View the <u>Product Showcase</u> on the OTT web site for more details









For Further Reading

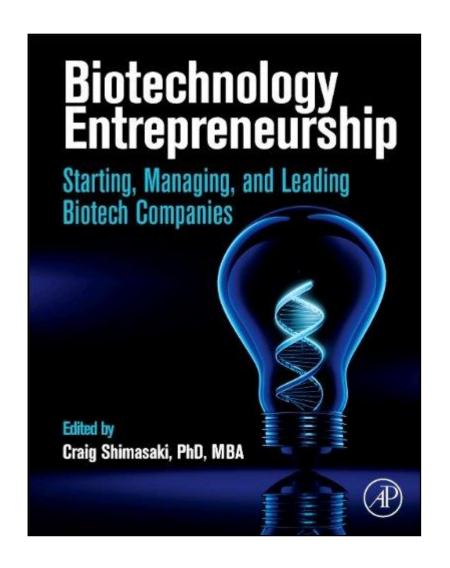


"Partnering with the NIH: Now part of the 'Value Proposition' for start-ups"

Journal of Commercial Biotechnology (2012) 18, 60–67.

Available on OTT web site

And From the BIO Bootcamp ...



"Licensing the Technology: Biotechnology Commercialization Strategies Using University and Federal Labs" (Chapter 14)

Available on OTT web site

Ways to Find -- Licensing Opportunities



iPhone/iPad app —

http://www.ott.nih.gov/service/iphoneipad-app



RSS feed —

http://www.ott.nih.gov/rss



Searchable database —

http://www.ott.nih.gov/opportunities



e-Brochures —

http://www.ott.nih.gov/service/technology-specific-brochures



Federal Register —

https://www.federalregister.gov/



NIH Office of Technology Transfer – http://www.ott.nih.gov

And A Special Thanks To:



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